

TA2-I: Training and Assessment Policy & Procedure

Contents

Purpose.....	1
Definitions	2
Policy	4
1. Delivery of quality training	4
2. Training and Assessment Strategies and industry consultation.....	4
3. Suitable and sufficient resources	5
4. Assessment principles	5
5. Assessment documentation	6
6. Submission, feedback and re-assessment.....	6
7. Practical placements.....	6
8. Assessment appeals.....	7
9. Recognition of Prior Learning (RPL).....	7
10. Student plagiarism, cheating and collusion.....	7
11. Arrangements with third parties to deliver training and assessment.....	7
12. Record keeping	7
13. Feedback and improvements	8
Procedures.....	8
1. Class preparation and delivery	8
2. Workplace visits.....	9
3. Student support.....	10
4. Practical placements.....	13
5. Conducting assessments	14
6. Recognition of Prior Learning.....	16
7. Plagiarism, cheating and collusion	20
8. Records of assessment	21
Document Control	22

Purpose

The purpose of this policy and procedure is to outline the approach taken by Australian Institute of Entrepreneurship to deliver high quality training and assessment to its students.

This policy aligns closely to Standard 1 from the Standards for RTOs and ensures the strategies and practices used in relation to training and assessment are responsive to industry and student needs and meet the requirements of the qualifications and courses provided.

This policy also addresses Standards 2, 6 and 8 from the National Code 2018.

TA2-I: Training and Assessment Policy & Procedure

Definitions

AQF means Australian Qualifications Framework which can be accessed at <http://www.aqf.edu.au/>

ASQA means Australian Skills Quality Authority which is the national VET regulator and the RTO’s registering body

Course means any nationally recognised qualification, unit of competency, skill set, or short course delivered by the RTO.

Dimensions of Competency refers to the types of skills a person must have to perform effectively in a broad capacity. The dimensions of competency ensure the person being assessed has the skills to perform competently in variety of different circumstances. To be competent, a person must demonstrate the following:

Task Skills	The skills needed to perform a task at an acceptable level. They include knowledge and practical skills, and these are usually described in the performance criteria.
Task Management Skills	These are skills in organising and coordinating, which are needed to be able to work competently while managing a number of tasks or activities within a job.
Contingency Skills	The skills needed to respond and react appropriately to unexpected problems, changes in routine and breakdowns while also performing competently.
Job Role/Environment Skills	The skills needed to perform as expected in a particular job, position, location and with others. These skills may be described in the range of variables and underpinning skills and knowledge.
Transfer Skills	The ability to transfer skills and knowledge to new situations and contexts.

National Code 2018 means National Code of Practice for Registration Authorities and Providers of Education and Training to Overseas Students 2018

Principles of Assessment means assessment decisions are based on the principles of fairness, flexibility, validity and reliability, which definitions of each of these as outlined below¹:

Fairness	<p>The individual learner’s needs are considered in the assessment process.</p> <p>Where appropriate, reasonable adjustments are applied by the RTO to take into account the individual learner’s needs.</p> <p>The RTO informs the learner about the assessment process and provides the learner with the opportunity to challenge the result of the assessment and be reassessed if necessary.</p>
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¹ Definitions quoted from Australian Skills Quality Authority. *User’s Guide to the Standards for RTOs 2015*. Accessed on December 8, 2014, from http://www.asqa.gov.au/verve/_resources/Users_Guide_to_the_Standards_for_Registered_Training_Organisations_RTOs_2015.pdf

TA2-I: Training and Assessment Policy & Procedure

Flexibility	<p>Assessment is flexible to the individual learner by:</p> <ul style="list-style-type: none"> • reflecting the learner’s needs; • assessing competencies held by the learner no matter how or where they have been acquired; and • drawing from a range of assessment methods and using those that are appropriate to the context, the unit of competency and associated assessment requirements, and the individual.
Validity	<p>Any assessment decision of the RTO is justified, based on the evidence of performance of the individual learner. Validity requires:</p> <ul style="list-style-type: none"> • assessment against the unit(s) of competency and the associated assessment requirements covers the broad range of skills and knowledge that are essential to competent performance; • assessment of knowledge and skills is integrated with their practical application; • assessment to be based on evidence that demonstrates that a learner could demonstrate these skills and knowledge in other similar situations; and • judgement of competence is based on evidence of learner performance that is aligned to the unit/s of competency and associated assessment requirements.
Reliability	<p>Evidence presented for assessment is consistently interpreted and assessment results are comparable irrespective of the assessor conducting the assessment.</p>

Reasonable adjustment means a modification made to the learning environment, training or assessment methods used to enable students with a disability to access and participate in training on the same basis as those without a disability. The adjustment must be ‘reasonable’ in that it must not impose unjustifiable hardship on the person or the RTO.

Recognition of Prior Learning or RPL means an assessment process that assesses the competency(s) of an individual that may have been acquired through formal, non-formal and informal learning to determine the extent to which that individual meets the requirements specified in the training package of VET accredited courses. For definitions of formal, non-formal and informal learning, refer to the definitions in ASQA’s User’s Guide for the Standards for Registered Training Organisations 2015².

RTO means Registered Training Organisation

Rules of Evidence means that the evidence on which an assessment decision is based is valid, sufficient, authentic and current, with definitions for each as outlined below: ³

² Definitions quoted from Australian Skills Quality Authority (ASQA). *User’s Guide to the Standards for RTOs 2015*. Accessed on December 8, 2014, from

http://www.asqa.gov.au/verve/resources/Users_Guide_to_the_Standards_for_Registered_Training_Organisations_RTOs_2015.pdf

³ Definitions quoted from ASQA as above.

TA2-I: Training and Assessment Policy & Procedure

Validity	The assessor is assured that the learner has the skills, knowledge and attributes as described in the module or unit of competency and associated assessment requirements.
Sufficiency	The assessor is assured that the quality, quantity and relevance of the assessment evidence enables a judgment to be made of a learner's competency
Authenticity	The assessor is assured that the evidence presented for assessment is the learner's own work.
Currency	The assessor is assured that the assessment evidence demonstrates current competency. This requires the assessment evidence to be from the present or the very recent past.

SRTOs means the Standards for RTOs 2015 – refer to definition of 'Standards'.

Standards means the Standards for Registered Training Organisations (RTOs) 2015 from the VET Quality Framework

Policy

1. *Delivery of quality training*

- *Australian Institute of Entrepreneurship provides quality training to its students for all Courses. This means:*
 - *Providing an appropriate amount of training for each Course to ensure effective outcomes for students in line with industry expectations, Training Package or VET Accredited Course requirements, and AQF requirements.*
 - *Providing suitable educational and support services sufficient to meet the numbers of students enrolled with the RTO.*
 - *Providing training resources that are accessible to students regardless of their location or mode of delivery.*
 - *Ensuring there are sufficient numbers of skilled trainers and assessors who are appropriately qualified and experienced in line with the Standards (Clause 1.13-1.25) and the RTO's Skilled Trainers and Assessors Policy and Procedures, who are able to deliver the Courses on the RTO's scope to the number of students enrolled with the RTO.*
 - *Identifying the support that each individual student needs prior to their commencement or enrolment with the RTO (whichever is first) and providing access to the educational and support services necessary to meet these needs and Course outcomes.*

2. *Training and Assessment Strategies and industry consultation*

- *In line with Australian Institute of Entrepreneurship's Course Development and Review Policy and Procedure:*
 - *Australian Institute of Entrepreneurship engages effectively with industry on each of the Courses it develops and/or delivers and uses industry feedback and input to contribute to the way in which a Course is delivered and structured.*

TA2-I: Training and Assessment Policy & Procedure

- *A comprehensive Training and Assessment Strategy is developed and implemented for each Course on Australian Institute of Entrepreneurship’s Scope of Registration. Training and Assessment Strategies are developed in consultation with industry and meet the requirements of the training package or VET Accredited Course.*
- *Training and Assessment Strategies are reviewed annually to ensure they remain current and reflect the current needs of industry.*
- *Training and Assessment Strategies are designed to be detailed so as to ensure that they can be used as the road-map to the delivery of each course, in conjunction with Australian Institute of Entrepreneurship’s policies and procedures.*
- *Trainers and assessors are provided with the Training and Assessment Strategy for each Course they train and/or assess to ensure consistency and compliance with the requirements*

3. Suitable and sufficient resources

- *Australian Institute of Entrepreneurship ensures it has access to suitable resources, facilities and equipment to deliver all Courses on its Scope of Registration. This includes access to a sufficient number of qualified trainers and assessors, relevant training rooms, learning aids, machinery, tools, workplaces or simulated workplace environments that appropriately reflect a workplace that a student is likely to work in once qualified. For further information refer to the Course Development and Review Policy and Procedures.*

4. Assessment principles

- *Australian Institute of Entrepreneurship has an assessment system that ensures assessment:*
 - *Is conducted in accordance with the Rules of Evidence and the Principles of Assessment.*
 - *Is conducted in line with the requirements of the relevant Training Package or VET Accredited Course.*
 - *Requires the student to demonstrate all of the skills and knowledge outlined in the components of the relevant unit of competency or module.*
 - *Requires the student to demonstrate the ability to perform tasks in a variety of situations, adapt to different contexts and environments and perform tasks to an appropriate level expected by a workplace.*
 - *Considers’ the students’ dimensions of competency when making all assessment decisions.*
- *To ensure no students are disadvantaged, where required assessors will make Reasonable Adjustments to assessment tasks or processes to accommodate individual needs and record these adjustments.*
- *Australian Institute of Entrepreneurship has a plan for, and implements, systematic validation of assessment practices and judgments. Refer to the Assessment Validation Policy & Procedure for further information.*

TA2-I: Training and Assessment Policy & Procedure

5. **Assessment documentation**

- *Assessment documentation has been developed for all units of competency or modules in each Course. These documents include:*
 - *Detailed instructions to the student about the tasks they must complete*
 - *Benchmark answers and decision-making rules for the assessor*
 - *Recording tools for the assessor*
 - *Mapping documents showing how the assessment tasks relate to the requirements of the unit of competency or module.*
- *In some cases, Australian Institute of Entrepreneurship has grouped units of competency or modules together to form a cluster/subject. In this case, assessment requirements may relate to a group of units rather than one unit, however this will be made clear in the assessment task instructions and in course information.*

6. **Submission, feedback and re-assessment**

- *Students must submit each task with a completed and signed Assessment Task Cover Sheet within timelines specified in the assessment instructions.*
- *Written and theoretical tasks will be assessed within 4 weeks of submission. Each task will be marked as Satisfactory or Not Satisfactory. A unit or module will be marked as Competent once all tasks for the unit or module have been marked as Satisfactory. The outcome will be recorded in the Unit of Competency Final Result Sheet.*
- *Students have up to three attempts per assessment task. Where a task is marked as Not Satisfactory, the student will be provided with feedback and be given the opportunity to resubmit/re-attempt the task. The first 2 attempts do not incur any additional fee. The third attempt does incur an additional fee per task. Refer to Additional Fees and Refunds policy and the Student Agreement Additional Fees and charges information.*
- *Where a student exhausts their attempts at re-assessment, the student will be required to pay to re-enroll in the unit or module, participate in further training and undertake the whole assessment again.*
- *Students will receive detailed feedback for each task either in written or verbal form from their assessor.*

Refer to SC5-I Additional Fees and Refunds policy and the Student Agreement Additional Fees and charges information.

7. **Practical placements**

- *Where a student is required to attend Practical Placement, Australian Institute of Entrepreneurship will:*
 - *Ensure a Practical Placement Agreement is in place prior to commencement of the training outlining: roles and responsibilities of all parties including any facilities, equipment or resources (which may include workplace supervision) required to be provided by the host workplace. The*

TA2-I: Training and Assessment Policy & Procedure

original Practical Placement Agreement signed by the student, workplace and RTO will be kept in the student's file.

- *Monitor the placement to ensure that both the Host Workplace and the student are meeting their obligations under the Practical Placement Agreement.*
- *Monitor progress and attendance of international students in accordance with its Course Progression and Attendance Monitoring Policy & Procedure.*
- *Ensure the Host Workplace is engaged in the process of training and assessment for the students attending their workplace and have an opportunity to provide feedback on the student's performance, the Course and Australian Institute of Entrepreneurship.*

8. Assessment appeals

- *Students have the right to make an appeal against an assessment decision by following the Complaints and Appeals Policy and Procedure.*

9. Recognition of Prior Learning (RPL)

- *Recognition of Prior Learning is available for all Courses and all students are offered the opportunity to participate in RPL upon enrolment.*
- *A streamlined RPL process has been developed which requires the student to make a self-assessment of their skills, participate in an interview with an assessor, provide documentary evidence and demonstrate practical skills where relevant.*

10. Student plagiarism, cheating and collusion

- *Students are expected to complete all assessments ethically: without plagiarism, collusion or cheating. Any students suspected of unethical behaviour will be managed through the disciplinary procedures which may require the student to attend disciplinary meetings, submit their assessment again, or for repeated acts may be asked to withdraw from the course.*

11. Arrangements with third parties to deliver training and assessment

- *Any third-party delivering training and assessment services on behalf of Australian Institute of Entrepreneurship are required to deliver them in line with Australian Institute of Entrepreneurship's policies and procedures. Refer to the Third-Party Agreements Policy and Procedure for further detail.*

12. Record keeping

- *Australian Institute of Entrepreneurship will comply with the requirements of ASQA's General Direction: Retention requirements for completed assessment requirements available at https://www.asqa.gov.au/sites/g/files/net2166/f/GENERAL_DIRECTION_Retention_requirements_for_completed_student_assessment_items.pdf. This means that assessments and all corresponding evidence will be kept on file for at least 6 months after the assessment decision has been made.*

TA2-I: Training and Assessment Policy & Procedure

13. Feedback and improvements

- Australian Institute of Entrepreneurship collects feedback about its training and assessment practices and systems from students, trainers/assessors and industry. Feedback will be collected regularly, collated and analysed in order to bring about effective improvements. Refer to the Quality Assurance Policy & Procedures for further details.

Procedures

1. Class preparation and delivery

Refer: • SRTOs: Clause 1.3 and 1.7

Procedure	Responsibility
<p>A. Session plans and supporting materials</p> <ul style="list-style-type: none"> • Sessions are to be delivered using the <u>approved</u> session plan for the topic/ unit / module. • Session plans are a summary of the content and activities to be covered in each session and refer the trainer to relevant parts of learning and assessment materials to be covered. • They will often be supported by other resources such as PowerPoints, handouts, textbooks etc. Supporting materials will be outlined on the plan. • Session plans ensure that what should be covered in a session is covered. Trainers are able to adjust session content to suit the needs of the group where required. • Trainers should provide feedback for improvement to session content and materials. 	<p>VET Coordinator Trainer/Assessor</p>
<p>B. Session delivery</p> <ul style="list-style-type: none"> • All sessions are to be delivered according to the approved session plans. • The trainer should set up the class-room to suit the requirements of the session. • All students should sign the <i>Attendance Roll</i>. • The trainer should ensure all details on the roll are correct and all students have signed, and then sign it at the bottom. • Trainers should collect session feedback as required according to the <i>Quality Assurance Procedures on Feedback and Surveys</i>. • Students may require individual support during, before and after classes. This should be documented accordingly 	<p>VET Coordinator Trainer/Assessor</p>

TA2-I: Training and Assessment Policy & Procedure

<ul style="list-style-type: none"> The completed attendance roll should be provided to the head office for data entry within one week. 	
<p>C. Simulated workplace environments</p> <ul style="list-style-type: none"> Simulated workplace environments to be used in class should be set up to accurately reflect a real working environment as closely as possible. Information on how this is to be set up should be outlined in the TAS. Simulated environments should be used during training so that students have the opportunity to practice skills using appropriate facilities and equipment that might normally be used in a workplace. Assessments may occur in a simulated environment where outlined in the assessment materials and where allowed and suitable by the Training Package or VET Accredited Course. 	<p>VET Coordinator Trainer/Assessor</p>

2. Workplace visits

Refer SRTOs: Clause 1.3 and 1.7 of Standard 1.

Procedure	Responsibility
<p>A. Conduct workplace visits</p> <ul style="list-style-type: none"> Visit workplace to sign off on Workplace Agreement and induct host employee so as to ensure they are able to meet all of their responsibilities and has the facilities and equipment required. Trainers/assessors will visit workplaces regularly for students participating in workplace-based programs, or programs that include a workplace based component. Visits may include training, support and/or assessment. The trainer/ assessor will liaise with the student and the nominated supervisor about their visit prior to attending but it is the student's responsibility to ensure relevant people in the workplace know the trainer/assessor is due. Discussions held with the student during the visit will be documented on the <i>Workplace Visit Form</i>. Visits may include a component of workplace observations requiring the completion of set tasks and/or observation of routine work duties – this will be outlined in the relevant task instructions for the unit/module/cluster. The trainer/assessor will ensure, prior to attending the visit that appropriate arrangements are in place for observing the relevant tasks to be demonstrated. 	<p>VET Coordinator Trainer/Assessor</p>

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<ul style="list-style-type: none"> The workplace supervisor may also be required to provide completed <i>Third Party /Supervisor Reports</i> to verify the student's workplace skills. The trainer/assessor will liaise with the relevant person to ensure they have been completed and can be collected on the day. Assessment outcomes will be recorded in accordance with the <i>Conducting Assessment</i> section in this procedure. 	
<p>B. Engage with workplaces during visits</p> <ul style="list-style-type: none"> Trainers/assessors should ensure that they make contact with relevant personnel during the workplace visit to ensure the workplace is engaged and involved in the student's training program. This may be to: <ul style="list-style-type: none"> Provide support and guidance on the workplace's involvement in the Course. Answer any questions they have Address issues relating to the student's performance relevant to the Course; and/or Check on the completion of third party/supervisor reports. Gather feedback about Australian Institute of Entrepreneurship, the Course and the workplace's involvement. 	<p>VET Coordinator</p> <p>Trainer/Assessor</p>
<p>C. Collect feedback during visits</p> <ul style="list-style-type: none"> Students and workplaces will be asked for feedback during workplace visits. This will be through <i>Student Visit Surveys, Employer Visit Surveys, Quality Indicator Employer Satisfaction Survey, Student Exit Survey, and/or Employer Exit Survey</i> – these are to be collected in line with the <i>Quality Assurance Policy and Procedure on Feedback and Surveys</i>. 	Trainer/Assessor

3. Student support

Refer:

- SRTOs: Clause 1.7 of Standard 1
- National Code 2017: Standard 6

Procedure	Responsibility
<p>A. Assessing individual needs</p> <ul style="list-style-type: none"> Application or Enrolment Forms are to be reviewed to identify if the student has indicated they require any additional support on the form. Individual needs may also be identified verbally during initial enquiry, entry /pre-training interviews or other. 	<p>VET Coordinator</p> <p>Administration Team</p>

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<ul style="list-style-type: none"> • Where individual support needs have been identified this to be referred to the VET Coordinator or Trainer. • The VET Coordinator or Trainer will further discuss the needs with the student to identify how the RTO can support the student. An individual support plan may be developed to assist the student through the course. Or, the student may be referred to an external service for support before enrolment – this might be to English language courses, employment support, lower level or more suitable qualifications delivered by other providers. • A student may not be offered a place for enrolment if the RTO is not able to support the student in the course. 	
<p>B. Language, literacy and numeracy assessments</p> <ul style="list-style-type: none"> • Students will be required to complete an LLN assessment as part of the enrolment process. This will be conducted during the enrolment process and before a place in the course is offered. • There is a different LLN assessment for each course. • The trainer/assessor should use the <i>LLN Marking Guide</i> to assess the test. • The outcome will be used to identify the current level of LLN skills the student has, and the support required for the course. An individual support plan may be developed to outline the support required for the student. 	VET Coordinator or Trainer/Assessor
<p>C. Individual support plans</p> <ul style="list-style-type: none"> • For students that have had individual support requirements identified, an Individual Support Plan will be developed which will outline the strategies used to provide the student with additional support over and above what is normally offered in the course. • This may include: <ul style="list-style-type: none"> – Additional one-on-one support from the trainer/assessor. – Assigning of a mentor/coach that is able to provide additional support in the workplace and who works closely with the student and the trainer/assessor. – Adjustments to the way training resources are accessed or provided. – Adjustments to the way assessments are to be conducted or extra time for assessments. – Additional online support – Linking with additional resources in the community 	VET Coordinator or Trainer/Assessor

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<p>D. Orientation</p> <ul style="list-style-type: none"> • Provide an orientation on the first day of the course to international students about adjusting to life and study. The orientation will include as a minimum the following information: <ul style="list-style-type: none"> – Support services within Australian Institute of Entrepreneurship available including services to assist students to meet course progress and attendance requirements Include details of services that can be provided e.g. one to one support from trainer, study groups, – Welfare-related support services (no cost and where the student is referred to an external service, there is no cost for the referral), including that these services are provided at no cost Include detail as access to a counsellor contracted by organisation, referral to external services – list services. – Course information and timetable – Contact details for Australian Institute of Entrepreneurship’s point of contact for support – Details of legal services that students may access – Information about and contact details for emergency and health services – Facilities and resources available on campus – Complaints and appeals processes; and – Information on visa conditions relating to course progress and attendance. • Remind students that the information provided at orientation is included in the Student Handbook. • Where a student commences their course after the first day of orientation, provide the student with a one to one orientation. 	<p>VET Coordinator Student Support Services Officer</p>
<p>E. Ongoing support</p> <ul style="list-style-type: none"> • Provide ongoing support services to students as required and as per the services indicated in the orientation. • Update details of support staff if contact details change. 	<p>VET Coordinator/ Student Support Services Officer</p>

Reasonable adjustments

Refer SRTOs: Clause 1.7 and 1.8

Procedure	Responsibility
<p>A. Making Reasonable Adjustments</p>	<p>VET Coordinator</p>

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<ul style="list-style-type: none"> Reasonable Adjustments may be required to training and/or assessment methods for students to provide them with the same educational opportunities as everyone else. Assessors can refer to the AIE Trainer Assessor Handbook and VET Coordinator, for further information about how and when to make reasonable adjustments. Where a reasonable adjustment is made to assessment, this should be documented in the <i>Assessment Record Tool</i>. 	Trainer/Assessor

4. Practical placements

Refer SRTOs: Clause 1.7 and 1.8

Procedure	Responsibility
<p>A. Establish placement arrangements</p> <ul style="list-style-type: none"> For courses that require practical placement, students may be required to source their own placement, or Australian Institute of Entrepreneurship will assist to establish a suitable workplace. For any workplace agreeing to take on a student, they must have full and complete information about the course and the expectations upon them and the student during the placement prior to agreement. Ensure the workplace is suitable for placement by ensuring the workplace: <ul style="list-style-type: none"> Has appropriate facilities, equipment and job requirements to provide the student with appropriate learning opportunities relevant to their course. Has the resources to ensure the student can be continually supervised. Meets workplace health and safety requirements. Ensure the workplace has the relevant Course Outline and <i>Practical Placement Handbook/Information for Supervisors</i>. A <i>Practical Placement Agreement</i> is to be completed between the student, the workplace and Australian Institute of Entrepreneurship prior to commencement of the program. The agreement will outline the arrangements of the placement including number of hours and when the placement is to be completed. 	VET Coordinator or Trainer/Assessor
<p>B. Monitor practical placements</p> <ul style="list-style-type: none"> Practical placements usually involve a number of visits to the workplace by the Trainer/Assessor for the purposes of providing on the job support and/or 	Trainer/Assessor

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<p>assessment. Practical placement arrangements will be monitored through these visits.</p> <ul style="list-style-type: none"> • Practical placements may also involve monitoring done via distance using the <i>Practical Placement Monitoring Form</i>. • Monitoring of the placement will include ensuring that: <ul style="list-style-type: none"> – The work placement arrangements are mutually beneficial between all parties. – The workplace is happy with the achievements, contribution and participation of the student. – The student is showing up for their work placement shifts at the right time. – The details of the work placement are being recorded properly. – The workplace is fulfilling their requirements by supporting the student and providing appropriate feedback to Australian Institute of Entrepreneurship about the student’s skills and achievements. • The monitoring process will aim to resolve any issues or concerns identified. • Any complaints received about the practical placement will be addressed according to the Complaints and Appeals policy and procedure. • Where it is considered suitable and beneficial for all parties involved, alternative work placement arrangements may be made for a student if required. 	
<p>C. Collect feedback from host workplaces</p> <ul style="list-style-type: none"> • Workplaces providing practical placements to students will be asked to provide feedback to the RTO on a regular basis through <i>Host Workplace Visit Surveys</i>, the <i>Quality Indicator Employer Satisfaction Survey</i> and <i>Host Workplace Exit Survey</i> – to be collected in line with the <i>Quality Assurance - Feedback Procedures</i>. 	<p>VET Coordinator Trainer/Assessor</p>

5. Conducting assessments

Refer SRTOs: Clauses 1.7 and 1.8

Procedure	Responsibility
<p>A. Preparing for assessment</p> <ul style="list-style-type: none"> • Requirements of assessment for each unit/module/cluster are outlined for the student in the Assessment Task Booklet and instructions are provided for assessors in the relevant Marking Guide. 	<p>Trainer/Assessor</p>

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<ul style="list-style-type: none"> • Ensure students are advised of the assessment requirements at the start of the unit/ module/ cluster and they show their agreement by signing the Assessment Plan. • Ensure students are advised of relevant due dates for each assessment task if applicable. • Reasonable Adjustments required should be recorded on the plan where relevant. 	
<p>B. Assess written work and provide feedback</p> <ul style="list-style-type: none"> • Each written Assessment Task should be submitted by the student with a signed and completed Assessment Task Cover Sheet. • All Completed Assessment Tasks are to be submitted to the Student Support Officer for the coordination of marking and recording results. • Students should be advised to keep a copy of their written work as it will not be returned to them. • Submitted assessment tasks should be assessed within 4 weeks of it being received. • Provide students with detailed written feedback on the Assessment Task Cover Sheet. A copy of the cover sheet will be kept on the student's file with the assessment tasks, and a copy provided to the student. • Assessors may use additional verbal questioning to fill gaps in written tasks where they deem it necessary to determine competence. This will be recorded in the Assessment Record Tool. 	Trainer/Assessor
<p>C. Assess practical tasks</p> <ul style="list-style-type: none"> • Practical tasks are conducted in simulated work place environments and scheduled during classes. Instructions for completing tasks will be outlined in the relevant Practical Observation Assessment Task Tool. • A record of the observations made during the assessment will be recorded in the Practical Observation Assessment Task Tool. During a work place visit, feedback can be documented on the <i>Workplace Visit Form</i>. • A student should be given a verbal summary of the feedback and asked to sign the Assessment Task Cover Sheet to confirm they have received their outcome. 	Trainer/Assessor
<p>D. Recording outcomes</p> <ul style="list-style-type: none"> • Record the outcome of the assessment task on the Assessment Task Cover Sheet. • Each task should be given an outcome of either Satisfactory or Not Satisfactory. 	Trainer/Assessor

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<ul style="list-style-type: none"> • A student will receive a Competent outcome once all the tasks for a unit have received a Satisfactory outcome. • A Not Yet Competent outcome will be recorded against a unit at the end of the term where either: <ul style="list-style-type: none"> – All tasks have been assessed and some or all have been marked as Not Satisfactory, or – Only some tasks have been submitted even if they have all been marked as Satisfactory. 	
<p>E. Re-submission</p> <ul style="list-style-type: none"> • Students have up to two attempts per assessment task to achieve a Satisfactory outcome. • Resubmission outcomes should follow the same process for feedback and recording as outlined above. • If a student has attempted a task three times but hasn't achieved a Satisfactory outcome after the third attempt, the student must re-enroll in the unit or module and undertake further 	Trainer/Assessor

6. Recognition of Prior Learning

Refer - SRTOs: Clause 1.12

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<p>A. Discuss RPL and provide self-assessment</p> <ul style="list-style-type: none"> • Ensure all students are aware that they may apply for RPL. • Upon receiving a student enquiry about RPL discuss prior learning that may relate to the units in the program they are enrolling in considering formal, non-formal and informal learning as described below: <ul style="list-style-type: none"> – <i>formal learning refers to learning that takes place through a structured program of instruction and is linked to the attainment of an AQF qualification or statement of attainment (for example, a certificate, diploma or university degree)</i> – <i>non-formal learning refers to learning that takes place through a structured program of instruction, but does not lead to the attainment of an AQF qualification or statement of attainment (for example, in house professional development programs conducted by a business), and</i> – <i>informal learning refers to learning that results through experience of work-related, social, family, hobby or leisure activities (for example the acquisition of interpersonal skills developed through several years as a sales representative).⁴</i> • Based on discussions make a recommendation based on the candidates prior learning, however students can make their own decision about whether or not to proceed. If students still want to proceed provide them with the RPL Self-assessment. 	<p>Administration Officer</p>

⁴ Definition taken from the *User's Guide to the Standards for Registered Training Organisations (2015)*: <http://www.asqa.gov.au/users-guide-to-the-standards-for-registered-training-organisations-2015/appendices/appendix-1/appendix-1.html>

TA2-I: Training and Assessment Policy & Procedure

<p>B. Candidate completes self-assessment</p> <ul style="list-style-type: none"> The candidate completes an initial self-assessment against the units/qualification they are seeking RPL for using the instructions included in the tool. This self-assessment process will help them decide whether RPL is a suitable pathway. Ensure RPL candidate has the contact details of a trainer/assessor to consult in relation to their Self-assessment if needed. The RPL candidate should then return the completed self-assessment along with the RPL Application Form (which is included in the Self-assessment), a copy of their CV and any certified copies of relevant qualifications back to Australian Institute of Entrepreneurship. 	<p>RPL candidate</p> <p>Administration Officer</p> <p>RPL candidate</p>
<p>C. Assessor reviews self-assessment</p> <ul style="list-style-type: none"> Review the self-assessment checklists to determine if the candidate is suitable to proceed with the RPL process and record these discussions in the RPL Assessor Record (under Self-Assessment Review and Discussion). This will include contacting the candidate to discuss the following: <ul style="list-style-type: none"> Their work experience and anything of interest in their CV (for example, has the candidate worked in a different number of job roles, have they worked in a number of different workplaces, or the same one, professional development experiences, etc.). The items they ticked/did not tick in their self-assessments. The items listed in their evidence brainstorm (for example, will these add value to their application, or will you see evidence of their work when they complete the assigned tasks?). The third-party person – who is it, how long have they known the candidate in a professional capacity, etc. Decide on the candidate’s suitability for RPL and: <ul style="list-style-type: none"> If eligible indicate those units which the candidate can proceed with RPL for in the RPL Assessor Record (Assessment Outcome Summary) and the RPL Third Party Report. If the candidate’s work experience, skills and knowledge do not accurately reflect the requirements of the qualification or units therein, the candidate, provide the candidate with information about formal training opportunities for this qualification. The candidate can then make a decision as to whether they would like to enrol in the course. 	<p>Assessor</p>

TA2-I: Training and Assessment Policy & Procedure

<p>D. Application fee and enrolment processed</p> <ul style="list-style-type: none"> • If eligible provide the candidate with: <ul style="list-style-type: none"> – Written agreement – Invoice for RPL assessment including adjusted payment plan – RPL Evidence Tool – RPL Third Party Report (with units indicated by the Assessor) • Once the written agreement and application fee is received, process enrolment in accordance with Processing enrolments procedure from the <i>Student Administration Policy & Procedures</i>. 	Administration Officer
<p>E. Initial support call</p> <ul style="list-style-type: none"> • Contact the candidate to discuss: <ul style="list-style-type: none"> – How to work through the RPL Evidence Tool – Arrangements for workplace observations (where applicable) including: <ul style="list-style-type: none"> – Consulting with the candidate’s workplace supervisor to ensure that workplace visits are scheduled appropriately – Ensuring that the workplace has access to required equipment and resources 	Assessor
<p>F. Gather Evidence of RPL</p> <ul style="list-style-type: none"> • The candidate completes and submits the RPL Evidence Tool and RPL Third Party Report if relevant (is able to seek support and guidance from Assessor as required). • Gather other evidence as required which may require: <ul style="list-style-type: none"> – visiting the candidate’s workplace to observe completion of practical tasks (where applicable) – completing verbal questioning (either over the phone, Skype or other video conference tool, or in person). 	Candidate & Assessor Assessor

TA2-I: Training and Assessment Policy & Procedure

<p>G. Conduct assessment of each unit of competency</p> <ul style="list-style-type: none"> • Review the information supplied by the student each unit of competency using the submitted RPL Evidence Tool and ensure the RPL Third Party Report and record outcomes in the RPL Assessor Record. • Where necessary: <ul style="list-style-type: none"> – Contact the contact the third-party person to discuss anything that requires further clarification. – Contact the candidate’s professional referees to discuss the candidate’s workplace competency (where required). – Ensure outcomes are summarised the Assessment Outcome Summary in the RPL Assessor Record. • Make gap training arrangements if required. • On completion of assessment, the following items must be returned to the candidate’s file for archiving: <ul style="list-style-type: none"> – RPL Assessor Record – RPL Self-assessment – RPL Evidence Tool and all evidence submitted – RPL Third Party Report. • Issue the candidate with a qualification or statement of attainment where competencies have been achieved. 	<p>Assessor</p>
<p>H. Gather feedback</p> <ul style="list-style-type: none"> • Feedback is collected from each RPL candidate using the RPL Candidate Feedback Survey to be collected in line with the Feedback Procedures in <i>Quality Assurance Policy & Procedures</i>. 	<p>Assessor</p>

7. Plagiarism, cheating and collusion

Refer SRTOs: Clause 1.8

Procedure	Responsibility
<p>A. Dealing with academic misconduct</p> <ul style="list-style-type: none"> • Where a trainer/assessor believes there to be an incident of academic misconduct involving plagiarism, cheating, and/or collusion, they should report this to the VET Coordinator along with reasons for allegation. Reasons may include: 	<p>Trainer/Assessor & VET Coordinator</p>

TA2-I: Training and Assessment Policy & Procedure

Procedure	Responsibility
<ul style="list-style-type: none"> – Similarity between student responses – Use of un-referenced source materials – Copying of other students work – Copy of material from the internet or textbooks • The VET Coordinator and Trainer/Assessor will then address this with the student by asking them to respond to the allegation and provide an explanation. • The VET Coordinator and Trainer/Assessor will then make a decision about the steps to be taken. This may include: <ul style="list-style-type: none"> – Requiring the student to resubmit the assessment – Using an alternative form of assessment to determine the student’s understanding • Where a student has repeated serious allegations of academic misconduct they may be given special or altered conditions for their assessment task or in serious cases they may be asked to withdraw from the course. 	

8. Records of assessment

Refer SRTOs: Clause 1.8

Procedure	Responsibility
<p>A. Keep records of assessment</p> <ul style="list-style-type: none"> • Records of assessment, including all assessment tools, completed tasks and assessment evidence for a unit, will be kept for a period of at least six (6) months from the time the judgement of competence was made. • Records will be kept securely in the following way: <ul style="list-style-type: none"> – Scanned and saved on the Wise Net student management system, and stored in student training files.) 	<p>Trainer/Assessor & VET Coordinator</p>

TA2-I: Training and Assessment Policy & Procedure

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